

EOHHS Technical Specifications Manual (1.3)
Appendix A-14

Subsection 2:
Neonatal Measures (NICU-1)
Data Dictionary

Neonatal Measure (NICU-1) Data Dictionary

Table of Contents

Data Element	Page #	Collected for
Active Maternal Infection or Choriamnionitis	1	NICU-1
Admission Date	2	All MassHealth Records
Admission Source	3	All MassHealth Records
Antenatal Steroids Administered	5	NICU-1
Birth Weight	6	NICU-1
Birthdate	7	All MassHealth Records
Case Identifier	8	All MassHealth Records
Clinical Trial	9	All MassHealth Records
Contraindications to Antenatal Steroids	10	NICU-1
DHCFP Ethnicity	11	All MassHealth Records
DHCFP Race	13	All MassHealth Records
DHCFP Payer Source	15	All MassHealth Records
Discharge Date	16	All MassHealth Records
Discharge Status	17	All MassHealth Records
Fetal Demise	19	NICU-1
First Name	20	All MassHealth Records
Gestational Age	21	NICU-1
Hispanic Ethnicity (DCHFP)	22	All MassHealth Records
Hospital Bill Number	23	All MassHealth Records
Hospital Patient ID Number	24	All MassHealth Records
Last Name	25	All MassHealth Records
Maternal Cardimyopathy	26	NICU-1
Maternal Thyrotoxicosis	27	NICU-1
Mother With Tuberculosis	28	NICU-1

Data Element	Page #	Collected for
Mother's Age Less Than 18 Years	29	NICU-1
Neonatal Principal Diagnosis Code	30	NICU-1
Neonatal Secondary Diagnosis Code	31	NICU-1
NICU Measure Eligibility	32	NICU-1
Other Reasons for Contraindication to Antenatal Steroids	33	NICU-1
Postal Code	34	All MassHealth Records
Provider ID	35	All MassHealth Records
Provider Name	36	All MassHealth Records
RID Number	37	All MassHealth Records
Ruptured Membranes and Imminent Delivery Within 6 – 12 Hours	38	NICU-1
Sample	39	All MassHealth Records
Sex	40	All MassHealth Records
Social Security Number	41	All MassHealth Records
Transfer In	42	NICU-1
Transfer Out	43	NICU-1

Data Element Name: *Active Maternal Infection or Choriamnionitis*

Collected For: NICU-1

Definition: Documentation that the mother had active maternal infection or choriamnionitis.

Suggested Data Collection Question: Active maternal infection or choriamnionitis?

Format:

Length: 1

Type: Alpha

Occurs: 1

Allowable Values:

Y (Yes) There is documentation that the mother had active maternal infection or choriamnionitis.

N (No) There is no documentation that the mother had active maternal infection or choriamnionitis.

Notes for Abstraction: This question refers to contraindications to antenatal steroids and should be answered only if the answer to “Was there documentation of one or more contraindications to administer antenatal steroids to the mother?” was yes.

Suggested Data Sources:

- Consultation notes
- History and physical
- Nursing admission assessment
- Nursing notes
- Physician progress notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Admission Date*

Collected For: All MassHealth Records

Definition: The month, day, and year of admission for inpatient care.

Suggested Data Collection Question: Admission Date

Format: **Length:** 10 – MM-DD-YYYY (includes dashes)
Type: Date
Occurs: 1

Allowable Values: MM = Month (01-12)
DD = Day (01-31)
YYYY = Year (2000 – 9999)

Notes for Abstraction: Because this data element is critical in determining the population for all measures, the abstractor should **not** assume that the claim information for the admission date is correct. If the abstractor determines through chart review that the date is incorrect, she/he should correct and override the downloaded value. If the abstractor is unable to determine the correct admission date through chart review, she/he should default to the admission date on the claim information.

A patient of a hospital is considered an inpatient upon issuance of written doctors orders to that effect.

Suggested Data Sources: Emergency department record
Face sheet
History and physical
Nursing admission assessment
Physician orders

Guidelines for Abstraction:

Inclusion	Exclusion
None	Admit to observation Arrival date

Data Element Name:	<i>Admission Source</i>		
Collected For:	All MassHealth Records		
Definition:	The source of inpatient admission for the patient.		
Suggested Data Collection Question:	Admission Source		
Format:	Length:	1	
	Type:	Alphanumeric	
	Occurs:	1	
Allowable Values:	1	Physician referral The patient was admitted to this facility upon recommendation of his or her personal physician, or Normal Delivery (if Admission Type = 4) A baby delivered without complications.	
	2	Clinic referral The patient was admitted to this facility upon recommendation of this facility's clinic physician, or Premature Delivery (if Admission Type = 4) A baby delivered with time and/or weight factors qualifying it for premature status.	
	3	HMO referral The patient was admitted to this facility upon recommendation of a health maintenance organization physician, or Sick baby (if Admission Type = 4) A baby delivered with medical complications, other than those relating to premature status.	
	4	Transfer From a hospital (Different Facility*) The patient was admitted to this facility as a hospital transfer from a different acute care facility where he or she was an inpatient, or Extramural Birth (if Admission Type = 4) A newborn born in a non-sterile environment. * For transfers from Hospital Inpatient in the Same Facility (see Code D).	
	5	Transfer from Skilled Nursing Facility The patient was admitted to this facility as a transfer from a skilled nursing facility where he or she was an inpatient.	

Allowable Values continued: 6

Transfer from Another Health Care Facility

The patient was admitted to this facility as a transfer from a health care facility other than an acute care facility or a skilled nursing facility. This includes transfers from nursing homes, long term care facilities and skilled nursing facility patients that are at a non-skilled level of care.

7

Emergency Room

The patient was admitted to this facility upon recommendation of this facility's emergency room physician.

8

Court/Law Enforcement

The patient was admitted to this facility upon the direction of a court of law or upon the request of a law enforcement agency representative.

9

Information Not Available

The means by which the patient was admitted to this hospital is not known.

Notes for Abstraction:

Because this data element is critical in determining the population for many measures, the abstractor should NOT assume that the claim information for the admission source is correct. If the abstractor determines through chart review that the admission source is incorrect, she/he should correct and override the downloaded value.

If unable to determine admission source, select "9."

Suggested Data Sources:

Emergency department record
Face sheet
History and physical
Nursing admission notes
Progress notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	If the patient was transferred from an emergency department of another hospital, do not use "7." This is only for patients admitted upon recommendation of this facility's emergency department physician/advanced practice nurse/physician assistant (physician/APN/PA).

Data Element Name:	<i>Antenatal Steroids Administered</i>		
Collected For:	NICU-1		
Definition:	Documentation that the mother received antenatal steroids (corticosteroids administered IM or IV) during the pregnancy at any time prior to delivery of a very low birth weight infant.		
Suggested Data Collection Question:	Did the mother receive antenatal steroids (corticosteroids administered IM or IV) during the pregnancy at any time prior to delivery of a low birth weight infant?		
Format:	Length:	1	
	Type:	Alpha	
	Occurs:	1	
Allowable Values:	Y (Yes)	The mother received antenatal steroids (corticosteroids administered IM or IV) during the pregnancy at any time prior to delivery of a very low birth weight infant.	
	N (No)	The mother did not receive antenatal steroids (corticosteroids administered IM or IV) during the pregnancy at any time prior to delivery of a very low weight infant.	
Notes for Abstraction:	None		
Suggested Data Sources:	History and physical Medication administration record (MAR) Nursing flow sheet Nursing notes Physician Notes Prenatal record		

Guidelines for Abstraction:

Inclusion	Exclusion
Refer to Appendix C, Table 6.3 in the Specifications Manual for National Hospital Quality Measures for a comprehensive list of Systemic Corticosteroids.	Inhalation Nasal sprays

Data Element Name: *Birth Weight*

Collected For: NICU-1

Definition: The infants birth weight in grams.

Note:

453.5 grams = 1 pound

28.35 grams = 1 ounce

Suggested Data

Collection Question: What was the infant's birth weight in grams?

Format:

Length: 4

Type: Alphanumeric

Occurs: 1

Allowable Values: 150 through 8165 grams

Note:

When converting from pounds and ounces to grams, do not round to the nearest pound before converting the weight to grams. Round to the nearest whole number after the conversion to grams.

Notes for Abstraction: Birth weights less than 150 grams and greater than 8165 grams need to be verified for data quality. Neonates with birth weights less than 150 grams are not likely to be born live and therefore are not part of the ICD Population.

Suggested Data Sources: Delivery record
History and physical
Nursing note
Nursery record
Physician note

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Birthdate*

Collected For: All MassHealth Records

Definition: The month, day, and year the patient was born.

NOTE: Patient's age (in years) is calculated by *Admission Date* minus *Birthdate*. The algorithm to calculate age must use the month and day portion of admission date and birthdate to yield the most accurate age.

Suggested Data

Collection Question: Birthdate

Format: **Length:** 10 – MM-DD-YYYY (includes dashes)
Type: Date
Occurs: 1

Allowable Values: MM = Month (01-12)
 DD = Day (01-31)
 YYYY = Year (1880 – 9999)

Notes for Abstraction: Because this data element is critical in determining the population for all measures, the abstractor should **not** assume that the claim information for the birthdate is correct. If the abstractor determines through chart review that the date is incorrect, she/he should correct and override the downloaded value. If the abstractor is unable to determine the correct birthdate through chart review, she/he should default to the date of birth on the claim information.

Suggested Data Sources: Emergency department record
 Face sheet
 Registration form

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Case Identifier*

Collected For: All MassHealth Records

Definition: A measurement system-generated number that uniquely identifies an episode of care. This identification number should be used by the performance measurement system in order to allow the health care organization to link this Case Identifier to a specific episode of care.

Suggested Data Collection Question: What is the unique measurement system-generated number that identifies this episode of care?

Format:

Length:	9
Type:	Numeric
Occurs:	1

Allowable Values: Values greater than 0 assigned by the system.

Notes for Abstraction: None

Suggested Data Sources: Unique measurement system generated number

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Clinical Trial*

Collected For: All MassHealth Records

Definition: Documentation that the patient was involved in a clinical trial during this hospital stay, relevant to the measure set for this admission. Clinical trials are organized studies to provide large bodies of clinical data for strategically valid evaluation or treatment. These studies are usually rigorously controlled tests of new drugs, invasive medical devices, or therapies on human subjects.

Suggested Data Collection Question: Is the patient participating in a clinical trial?

Format:
Length: 1
Type: Alpha
Occurs: 1

Allowable Values:

Y (Yes)	There is documentation that the patient was involved in a clinical trial during this hospital stay relevant to the measure set for this admission.
N (No)	There is no documentation that the patient was involved in a clinical trial during this hospital stay relevant to the measure set for this admission, or unable to determine from medical record documentation.

Notes for Abstraction: This data element is used to exclude patients that are involved in a clinical trial during this hospital stay relevant to the measure set for this admission. Consider the patient involved in a clinical trial if documentation indicates:

- The patient was evaluated for enrollment in a clinical trial after hospital arrival, but was not accepted or refused participation.
- The patient was newly enrolled in a clinical trial during the hospital stay.
- The patient was enrolled in a clinical trial prior to arrival and continued active participation in that clinical trial during the hospital stay.
- To answer “Yes” to this data element, there must be formal documentation (trial protocol or patient consent form) in the medical record that the patient was involved in a clinical trial designed to enroll patients with the condition specified in the applicable measure set.
- If it is not clear which study that the clinical trial is enrolling, select “No”. Assumptions should not be made if it is not specified.

Suggested Data Sources: **ONLY ACCEPTABLE SOURCES:**

- Clinical trial protocol
- Consent forms for clinical trial

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Contraindication to Antenatal Steroids*

Collected For: NICU-1

Definition: Documentation of one or more contraindications to administer antenatal steroids to the mother.
Corticosteroids are a family of potent anti-inflammatory medications produced either naturally by the adrenal cortex or manufactured synthetically, in inhaled, topical, oral, and intravenous forms.

Suggested Data Collection Question: Was there documentation of one or more of the following contraindications to administer antenatal steroids to the mother?

Format:
Length: 1
Type: Alpha
Occurs: 1

Allowable Values:

Y (Yes)	There is documentation of one or more contraindications to administer antenatal steroids to the mother.
N (No)	There is no documentation of contraindications to administer antenatal steroids to the mother or unable to determine from the medical record documentation.

Notes for Abstraction: When there is documentation of an “allergy”, “sensitivity”, “intolerance”, “adverse or side effects”, regard this as documentation of contraindication regardless of what type of reaction might be noted. Do not attempt to distinguish between true allergies, sensitivities, intolerances, adverse or side effects, etc. (e.g., “Allergies: Prednisolone – select “Yes.”)

Suggested Data Sources:

- Consultation notes
- Discharge summary
- Emergency department record
- History and physical
- Medication administration record (MAR)
- Nursing notes
- Physician notes
- Physician orders

Guidelines for Abstraction:

Inclusion	Exclusion
Allergies/sensitivities/intolerance Side effects Refer to Appendix C, Table 6.3 in the Specifications Manual for National Hospital Quality Measures for a comprehensive list of Systemic Corticosteroids.	None

Data Element Name: *DHCFP Ethnicity*

Collected For: All MassHealth Records

Definition: Documentation of the patient's ethnicity as defined by Massachusetts DHCFP regulations.

Suggested Data

Collection Question: Ethnicity Code

Format: **Length:** 6
Type: Alphanumeric
Occurs: 1

Allowable Values: Select one:

2060-2	African	2039-6	Japanese
2058-6	African American	2040-4	Korean
AMERCN	American	2041-2	Laotian
2028-9	Asian	2148-5	Mexican, Mexican American, Chicano
2029-7	Asian Indian	2118-8	Middle Eastern
BRAZIL	Brazilian	PORTUG	Portuguese
2033-9	Cambodian	2180-8	Puerto Rican
CVERDN	Cape Verdean	RUSSIA	Russian
CARIBI	Caribbean Island	2161-8	Salvadoran
2034-7	Chinese	2047-9	Vietnamese
2169-1	Columbian	2155-0	Central American (not specified)
2182-4	Cuban	2165-9	South American (not specified)
2184-0	Dominican	OTHER	Other Ethnicity
EASTEU	Eastern European	UNKNOW	Unknown/not specified
2108-9	European		
2036-2	Filipino		
2157-6	Guatemalan		
2071-9	Haitian		
2158-4	Honduran		

Notes for Abstraction: The data elements, *Hispanic Ethnicity* and *DHCFP Race* are required in addition to this data element. If numeric code is used, include the hyphen after the fourth number.

Suggested Data Sources:

- Emergency department record
- Face sheet
- History and physical
- Nursing admission assessment
- Progress notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name:	<i>DHCFP Race</i>		
Collected For:	All MassHealth Records		
Definition:	Documentation of the patient’s race as defined by the Massachusetts DHCFP regulations.		
Suggested Data Collection Question:	Race code.		
Format:	Length:	6	
	Type:	Alphanumeric	
	Occurs:	1	
Allowable Values:	Select one:		
	R1	American Indian or Alaska Native:	
	R2	Asian:	
	R3	Black / African American:	
	R4	Native Hawaiian or other Pacific Islander:	
	R5	White.	
	R9	Other Race:	
	UNKNOWN	Unknown/not specified:	
Notes for Abstraction:	The data elements, <i>DHCFP Ethnicity</i> and <i>DHCFP Hispanic Indicator</i> , are required in addition to this data element.		
Suggested Data Sources:	Emergency department records Face sheet History and physical Nursing admission assessment Progress notes		

Guidelines for Abstraction:

Inclusion	Exclusion
<ul style="list-style-type: none"> • American Indian or Alaska Native: A person having origins in any of the original peoples of North and South America (including Central America), and who maintain tribal affiliations or community attachment, e.g. any recognized tribal entity in North and South America (including Central America), Native American. • Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam. • Black or African American: A person having origins in any of the black racial groups of Africa. Terms such as “Haitian” or “Negro, can be used in addition to “Black or African American”. • Native Hawaiian or Other Pacific Islander: A person having origins in any of the other original peoples of Hawaii, Guam, Samoa, or other Pacific Islands. • White: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa, e.g., Caucasian, Iranian, White. • Other Race: A person having an origin other than what has been listed above. • Unknown: Unable to determine the patient’s race or not stated (e.g., not documented, conflicting documentation or patient unwilling to provide). 	<p>None</p>

Data Element Name: *DHCFP Payer Source*

Collected For: All MassHealth Records

Definition: Source of payment for the services provided to the patient as defined by the Massachusetts DHCFP regulations.

Suggested Data Collection Question: What is the Medicaid Payer Source?

Format: **Length:** 3
 Type: Alphanumeric
 Occurs: 1

Allowable Values: 103 Medicaid (includes MassHealth)
 104 Medicaid Managed Care - Primary Care Clinician (PCC) Plan

Notes for Abstraction: None

Suggested Data Sources: Face sheet

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Discharge Date*

Collected For: All MassHealth Records

Definition: The month, day, and year the patient was discharged from acute care, left against medical advice (AMA), or expired during this stay.

Suggested Data Collection Question: Discharge Date

Format: **Length:** 10 – MM-DD-YYYY (includes dashes)
Type: Date
Occurs: 1

Allowable Values: MM = Month (01-12)
DD = Day (01-31)
YYYY = Year (2000 – 9999)

Notes for Abstraction: Because this data element is critical in determining the population for all measures, the abstractor should **not** assume that the claim information for the discharge date is correct. If the abstractor determines through chart review that the date is incorrect, she/he should correct and override the downloaded value. If the abstractor is unable to determine the correct discharge date through chart review, she/he should default to the discharge date on the claim information.

Suggested Data Sources: Discharge summary
Face sheet
Nursing discharge notes
Physician orders
Progress notes
Transfer note

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Discharge Status*

Collected For: All MassHealth Records

Definition: The place or setting to which the patient was discharged.

Suggested Data Collection Question: Discharge Status

Format:

Length:	2
Type:	Alphanumeric
Occurs:	1

Allowable Values:

01	Discharge to home care or self care (routine discharge) <u>Usage Note:</u> Includes discharge to home; jail or law enforcement; home on oxygen if DMS only; any other DMS only; group home, foster care, and other residential care arrangements; outpatient programs, such as partial hospitalization or outpatient chemical dependency programs; assisted living facilities that are not state-designated.
02	Discharged / transferred to a short to a short term general hospital for inpatient care
03	Discharged / transferred to a skilled nursing facility (SNF) with Medicare certification in anticipation of covered skilled care <u>Usage Note:</u> Medicare indicates that the patient is discharged / transferred to a Medicare certified nursing facility. For hospitals with an approved swing bed arrangement, use Code 61 – Swing Bed. For reporting other discharges / transfers to nursing facilities, see 04 and 64.
04	Discharged / transferred to an intermediate care facility (ICF) <u>Usage Note:</u> Typically defined at the state level for specifically designated intermediate care facilities. Also used to designate patients that are discharged / transferred to a nursing facility with neither Medicare nor Medicaid certification and for discharges / transfers to state designated Assisted Living facilities.
05	Discharged / transferred to another type of health care institution not defined elsewhere in this code list <u>Usage Note:</u> Cancer hospitals excluded from Medicare PPS and children's hospitals are examples of such other types of health care institutions.

**Allowable Values
continued:**

- 06 Discharge / transferred to home under care of organized home health service organization in anticipation of covered skilled care.
Usage Note: Report this code when the patient is discharged / transferred to home with a written plan of care (tailored to the patient's medical needs) for home care services.
- 07 Left against medical advice or discontinued care
- 20 Expired

Notes for Abstraction:

The values for *Discharge Status* are taken from the National Uniform Billing Committee (NUBC) manual which is used by billing/HIM to complete the UB-04.

Because this data element is critical in determining the population for many measures, the abstractor should **not** assume that the claim information for discharge status is correct. If the abstractor determines through chart review that the discharge status is incorrect, she/he should correct and override the downloaded value. If the abstractor is unable to determine the correct discharge status through chart review, she/he should default to the discharge status on the claim information.

Suggested Data Sources:

Discharge instruction sheet
Discharge summary
Face sheet
Nursing discharge notes
Physician orders
Progress notes
Social service notes
Transfer record

Guidelines for Abstraction:

Inclusion	Exclusion
Refer to Appendix H, Table 2.5 in the Specifications Manual for National Hospital Quality Measures.	None

Data Element Name: *Fetal Demise*

Collected For: NICU-1

Definition: Documentation of fetal demise.

**Suggested Data
Collection Question:** Fetal Demise

Format: **Length:** 1
 Type: Alpha
 Occurs: 1

Allowable Values: Y (Yes) There is documentation of fetal demise in the medical record.

 N (No) There is no documentation of fetal demise in the medical record.

Notes for Abstraction: This question refers to contraindications to antenatal steroids and should be answered only if the answer to “Was there documentation of one or more contraindications to administer antenatal steroids to the mother?” was yes.

Suggested Data Sources: Consultation notes
 History and physical
 Nursing admission assessment
 Nursing notes
 Physician progress notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *First Name*

Collected For: All MassHealth Records

Definition: The patient's first name.

Suggested Data Collection Question: First Name

Format: **Length:** 30
 Type: Alphanumeric
 Occurs: 1

Allowable Values: Enter the patient's first name.

Notes for Abstraction: None

Suggested Data Sources: Emergency department record
Face sheet
History and physical

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Gestational Age*

Collected For: NICU-1

Definition: The gestational age of the infant in weeks and days.

Suggested Data Collection Question: What was the infant's gestational age?

Format: **Length:** 2
 Type: Weeks (Numeric)
 Occurs: 1

Allowable Values: (24-33)

Format: **Length:** 1
 Type: Days (Numeric)
 Occurs: 1

Allowable Values: (0-6)

Notes for Abstraction: This question refers to the infant's gestational age at the time of delivery.

Suggested Data Sources: Delivery Note
 Discharge Summary
 History and physical
 Prenatal record

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name:	<i>Hispanic Ethnicity (DHCFP)</i>	
Collected For:	All MassHealth Records	
Definition:	Documentation that the patient is of Hispanic Indicator as defined by Massachusetts DHCFP regulations.	
Suggested Data Collection Question:	Hispanic Ethnicity.	
Format:	Length:	1
	Type:	Alpha
	Occurs:	1
Allowable Values:	Y (Yes)	Patient is Hispanic/Latino/Spanish.
	N (No)	Patient is not of Hispanic/Latino/Spanish.
Notes for Abstraction:	The data elements, <i>DHCFP Race</i> and <i>DHCFP Ethnicity</i> , are required in addition to this <i>Hispanic Indicator</i> data element.	
Suggested Data Sources:	Emergency department records Face sheet History and physical Nursing admission assessment Progress notes	

Guidelines for Abstraction:

Inclusion	Exclusion
The term “Hispanic” or “Latino” can be used in addition to “Spanish origin” to include a person of Cuban, Puerto Rican, Mexican, Central or South American, or other Spanish culture or origin regardless of race.	None

Data Element Name: *Hospital Bill Number (DHCFP)*

Collected For: All MassHealth Records

Definition: The unique number assigned to each patient's bill that distinguishes the patient and their bill from all others in that institution as defined by Massachusetts DHCFP.

Newborns must have their own billing number separate from that of their mother.

Suggested Data Collection Question: Hospital Bill Number

Format:
Length: 20
Type: Alphanumeric
Occurs: 1

Allowable Values: Values greater than 0 assigned by the system.

Notes for Abstraction: None

Suggested Data Sources: Face sheet

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Hospital Patient ID Number*

Collected For: All MassHealth Records

Definition: The identification number used by the Hospital to identify this patient's medical record (Medical Record Number).

Suggested Data Collection Question: Hospital Patient ID (Medical Record)

Format: **Length:** 40
 Type: Alphanumeric
 Occurs: 1

Allowable Values: Up to 40 letters and / or numbers

Notes for Abstraction: None

Suggested Data Sources: Face sheet

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Last Name*

Collected For: All MassHealth Records

Definition: The patient's last name.

Suggested Data Collection Question: Last Name

Format: **Length:** 60
 Type: Alphanumeric
 Occurs: 1

Allowable Values: Enter the patient's last name.

Notes for Abstraction: None

Suggested Data Sources: Emergency department record
 Face sheet
 History and physical

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Maternal Cardimyopathy*

Collected For: NICU-1

Definition: Documentation that the mother has cardiomyopathy.

Suggested Data Collection Question: Maternal cardiomyopathy

Format: **Length:** 1
 Type: Alpha
 Occurs: 1

Allowable Values:

Y (Yes)	There is documentation that the mother has cardiomyopathy.
N (No)	There is no documentation that the mother has cardiomyopathy.

Notes for Abstraction: This question refers to contraindications to antenatal steroids and should be answered only if the answer to “Was there documentation of one or more of the following contraindications to administer antenatal steroids to the mother?” was yes.

Suggested Data Sources: Consultation notes
 History and physical
 Nursing admission assessment
 Nursing notes
 Physician progress notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Maternal Thyrotoxicosis*

Collected For: NICU-1

Definition: Documentation that the mother had thyrotoxicosis.

Suggested Data Collection Question: Maternal thyrotoxicosis

Format: **Length:** 1
 Type: Alpha
 Occurs: 1

Allowable Values: Y (Yes) There is documentation of maternal thyrotoxicosis.
 N (No) There is no documentation of maternal thyrotoxicosis.

Notes for Abstraction: This question refers to contraindications to antenatal steroids and should be answered only if the answer to “Was there documentation of one or more of the following contraindications to administer antenatal steroids to the mother?” was yes.

Suggested Data Sources: Consultation notes
 History and physical
 Nursing admission assessment
 Nursing notes
 Physician progress notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Mother With Tuberculosis*

Collected For: NICU-1

Definition: Documentation that the mother had tuberculosis.

Suggested Data Collection Question: Mother with tuberculosis

Format: **Length:** 1
 Type: Alpha
 Occurs: 1

Allowable Values: Y (Yes) There is documentation that the mother had tuberculosis.

 N (No) There is no documentation that the mother had tuberculosis.

Notes for Abstraction: This question refers to contraindications to antenatal steroids and should be answered only if the answer to “Was there documentation of one or more of the following contraindications to administer antenatal steroids to the mother?” was yes.

Suggested Data Sources: Consultation notes
 History and physical
 Nursing admission assessment
 Nursing notes
 Physician progress notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name:	<i>Mother's Age Less Than 18 Years</i>	
Collected For:	NICU-1	
Definition:	Documentation that the mother's age is less than 18 years old.	
Suggested Data Collection Question:	Was the mother's age less than 18 years old?	
Format:	Length:	1
	Type:	Alpha
	Occurs:	1
Allowable Values:	Y (Yes)	The mother's age was less than 18 years at the time of admission.
	N (No)	The mother's age was not less than 18 years at the time of admission.
Notes for Abstraction:	The patient's age (in years) can be calculated by <i>Admission Date</i> minus <i>Birthdate</i> .	
Suggested Data Sources:	Face sheet	

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Neonatal Principal Diagnosis Code*

Collected For: NICU-1

Definition: The International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) principal diagnosis code associated with a birth weight of less than 1500 grams or a gestational age between 24 weeks 0 days and 33 weeks and 6 days (inclusive) that makes this record eligible for the NICU-1 measure.

Suggested Data Collection Question: Principal Diagnosis Code

Format: **Length:** 6 (implied decimal point)
 Type: Alphanumeric
 Occurs: 1

Allowable Values: Any valid ICD-9-CM diagnosis code from the inclusion list below.

Notes for Abstraction: None

Suggested Data Sources: Discharge summary

Guidelines for Abstraction:

Inclusion	Exclusion
ICD-9-CM Diagnosis Codes: 764.02 – 764.05 765.02 – 765.05 764.12 – 764.15 765.12 – 765.15 764.22 – 764.25 765.22 – 765.27 764.92 – 764.95	None

Data Element Name: *Neonatal Secondary Diagnosis Code*

Collected For: NICU-1

Definition: The International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) secondary diagnosis code associated with a birth weight of less than 1500 grams or a gestational age between 24 weeks 0 days and 33 weeks and 6 days (inclusive) that makes this record eligible for the NICU-1 measure.

Suggested Data Collection Question: Secondary Diagnosis Code

Format:

Length:	6 (implied decimal point)
Type:	Alphanumeric
Occurs:	1

Allowable Values: Any valid ICD-9-CM diagnosis code from the inclusion list below.

Notes for Abstraction: None

Suggested Data Sources: Discharge summary

Guidelines for Abstraction:

Inclusion	Exclusion
ICD-9-CM Diagnosis Codes: 764.02 – 764.05 765.02 – 765.05 764.12 – 764.15 765.12 – 765.15 764.22 – 764.25 765.22 – 765.27 764.92 – 764.95	None

Data Element Name: *NICU Measure Eligibility*

Collected For: NICU-1

Definition: Documentation that the medical record is eligible for the NICU-1 measure. Eligibility requires an ICD-9-CM principal or secondary discharge diagnosis code reflecting the delivery of a newborn weighing less than 1500 grams or infant gestational age at birth between 24 weeks 0 days and 33 weeks 6 days (inclusive).

Suggested Data Collection Question: Was the principal or secondary ICD-9-CM diagnosis code of delivery < 1500 grams or gestational age between 24 weeks 0 days and 33 weeks 6 days selected for this record?

Format:
Length: 1
Type: Alpha
Occurs: 1

Allowable Values:

Y (Yes)	There is an ICD-9-CM principal or secondary discharge diagnosis code reflecting the delivery of a newborn weighing less than 1500 grams or gestational age between 24 weeks 0 days and 33 weeks 6 days (inclusive).
N (No)	There is neither an ICD-9-CM principal or secondary discharge diagnosis code reflecting the delivery of a newborn weighing less than 1500 grams nor is the gestational age documented as between 24 weeks 0 days and 33 weeks 6 days (inclusive).

Notes for Abstraction: None

Suggested Data Sources: Discharge summary
Nursing notes
Physician notes

Guidelines for Abstraction:

Inclusion	Exclusion
ICD-9-CM Diagnosis Codes: 764.02 – 764.05 765.02 – 765.05 764.12 – 764.15 765.12 – 765.15 764.22 – 764.25 765.22 – 765.27 764.92 – 764.95	None

Data Element Name:	<i>Other Reasons for Contraindication to Antenatal Steroids</i>	
Collected For:	NICU-1	
Definition:	Documentation by a physician, nurse practitioner, or physician assistant of other reasons for contraindications to antenatal steroids	
Suggested Data Collection Question:	Other reasons as documented by physician, nurse practitioner, or physician assistant	
Format:	Length:	1
	Type:	Alpha
	Occurs:	1
Allowable Values:	Y (Yes)	There is documentation in the medical record by a physician, nurse practitioner, or physician assistant of a contraindication to antenatal steroids other than maternal thyrotoxicosis, maternal cardiomyopathy, active maternal infection or choriamnionitis, ruptured membranes and imminent delivery within 6– 12 hours, fetal demise, or mother with tuberculosis.
	N (No)	There is no documentation in the medical record by a physician, nurse practitioner, or physician assistant of a contraindication to antenatal steroids other than maternal thyrotoxicosis, maternal cardiomyopathy, active maternal infection or choriamnionitis, ruptured membranes and imminent delivery within 6 – 12 hours, fetal demise, or mother with tuberculosis.
Notes for Abstraction:	<p>This question refers to contraindications to antenatal steroids and should be answered only if the answer to “Was there documentation of one or more of the following contraindications to administer antenatal steroids to the mother?” was yes.</p> <p>Other reasons refers to reasons other than maternal thyrotoxicosis, maternal cardiomyopathy, active maternal infection or choriamnionitis, ruptured membranes and imminent delivery within 6 – 12 hours, fetal demise, or mother with tuberculosis.</p>	
Suggested Data Sources:	Consultation notes History and physical Nursing admission assessment Nursing notes Physician progress notes	

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Postal Code*

Collected For: All MassHealth Records

Definition: The postal code of the patient's residence. For the United States zip codes the hyphen is implied. If the patient is determined to not have a permanent residence, then the patient is considered homeless.

Suggested Data

Collection Question: What is the postal code of the patient's residence?

Format: **Length:** 9
 Type: Alphanumeric
 Occurs: 1

Allowable Values: Any valid five or nine digit postal code or "HOMELESS" if the patient is determined not to have a permanent residence. If the patient is not a resident of the United States, use "Non-US."

Notes for Abstraction: If the postal code of the patient is unable to be determined from medical record documentation, enter the provider's postal code.

Suggested Data Sources: Face sheet

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Provider ID*

Collected For: All MassHealth Records

Definition: The provider's six digit acute care Medicaid provider identifier.

**Suggested Data
Collection Question:** Provider ID

Format: **Length:** 6
 Type: Alphanumeric
 Occurs: 1

Allowable Values: Any valid six-digit Medicaid provider ID.

Notes for Abstraction: None

Suggested Data Sources: None

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Provider Name*

Collected For: All MassHealth Records

Definition: The provider name.

Suggested Data Collection Question: Provider name

Format:
Length: 60
Type: Alphanumeric
Occurs: 1

Allowable Values: Provider name.

Notes for Abstraction: The provider name is the name of the hospital.

Suggested Data Sources: Face sheet

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *RID Number*

Collected For: All MassHealth Records

Definition: The patient's MassHealth Recipient ID number.

Suggested Data Collection Question: What is the patient's MassHealth Recipient ID number?

Format:

Length:	10
Type:	Alphanumeric
Occurs:	1

Allowable Values:

- Any valid Recipient Identification Number (RID) number
- Alpha characters must be upper case
- No embedded dashes or spaces or special characters

Notes for Abstraction: The abstractor should **not** assume that the claim information for the patient's RID number is correct. If the abstractor determines through chart review that the RID number is incorrect, she/he should correct and override the downloaded value. If the abstractor is unable to determine the correct RID number through chart review, she/he should default to the admission date on the claim information.

Suggested Data Sources:

- Emergency department record
- Face sheet

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Ruptured Membranes and Imminent Delivery Within 6 – 12 Hours*

Collected For: NICU-1

Definition: Documentation that the mother had ruptured membranes and imminent delivery within 6 – 12 hours.

Suggested Data Collection Question: Ruptured membranes and imminent delivery within 6-12 hours

Format: **Length:** 1
 Type: Alpha
 Occurs: 1

Allowable Values:

Y (Yes)	There is documentation that the mother had ruptured membranes and imminent delivery within 6 – 12 hours.
N (No)	There is no documentation that the mother had ruptured membranes and imminent delivery within 6 – 12 hours.

Notes for Abstraction: This question refers to contraindications to antenatal steroids and should be answered only if the answer to “Was there documentation of one or more of the following contraindications to administer antenatal steroids to the mother?” was yes.

Suggested Data Sources:

- Consultation notes
- History and physical
- Nursing admission assessment
- Nursing notes
- Physician progress notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Sample*

Collected For: All MassHealth Records

Definition: Indicates if the data being transmitted for a hospital has been sampled, or represent an entire population for the specified time period.

Suggested Data Collection Question: Does this case represent part of a sample?

Format: **Length:** 1
 Type: Alpha
 Occurs: 1

Allowable Values: Y (Yes) This data represents part of a sample.

 N (No) The data is not part of a sample; this indicates the hospital is performing 100 percent of the discharges eligible for this topic.

Notes for Abstraction: None

Suggested Data Sources: Not Applicable

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Sex*

Collected For: All MassHealth Records

Definition: The patient's sex.

**Suggested Data
Collection Question:** Sex

Format: **Length:** 1
 Type: Alpha
 Occurs: 1

Allowable Values: M = Male
 F = Female
 U = Unknown

Notes for Abstraction: None

Suggested Data Sources: Consultation notes
 Emergency department record
 Face sheet
 History and physical
 Nursing admission notes
 Progress notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Social Security Number*

Collected For: All MassHealth Records

Definition: Social Security Number (SSN) assigned to the patient.

Suggested Data Collection Question: What is the patient's Social Security Number?

Format:

Length: 9 (no dashes)
Type: Alphanumeric
Occurs: 1

Allowable Values:

Any valid SSN number
 Alpha characters must be upper case
 No embedded dashes or spaces or special characters

Notes for Abstraction: The abstractor should **not** assume that the claim information for the social security number is correct. If the abstractor determines through chart review that the social security number is incorrect, she/he should correct and override the downloaded value. If the abstractor is unable to determine the correct social security number through chart review, she/he should default to the social security on the claim information.

Suggested Data Sources:

Emergency department record
 Face sheet
 Registration form

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Transfer In*

Collected For: NICU-1

Definition: Documentation that the mother was transferred in from another acute care facility.

Suggested Data Collection Question: Was the mother transferred in?

Format: **Length:** 1
 Type: Alpha
 Occurs: 1

Allowable Values: Y (Yes) The mother was transferred in from another acute care facility.

 N (No) The mother was not transferred in from another acute care facility.

Notes for Abstraction: None

Suggested Data Sources: Discharge summary
 History and physical
 Nursing notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None

Data Element Name: *Transfer Out*

Collected For: NICU-1

Definition: Documentation that the mother was transferred out to another acute care facility.

Suggested Data Collection Question: Was the mother transferred out?

Format: **Length:** 1
 Type: Alpha
 Occurs: 1

Allowable Values: Y (Yes) The mother was transferred out to another acute care facility.

 N (No) The mother was not transferred out to another acute care facility.

Notes for Abstraction: None

Suggested Data Sources: Discharge summary
 Nursing notes
 Physician notes

Guidelines for Abstraction:

Inclusion	Exclusion
None	None